FRAUD PREVENTION CHECKLIST

The most cost-effective way to limit fraud losses is to prevent fraud from occurring in the first place. This checklist is designed to help you test the effectiveness of your fraud prevention measures. For more information and resources, visit FraudWeek.com.

- ☐ Is ongoing anti-fraud training provided to all employees of your organization?
- ☐ Is an effective fraud reporting mechanism in place?
- ☐ Is possible fraudulent conduct aggressively sought out, rather than dealt with passively?
- ☐ Is the tone at the top one of honesty and integrity?
- ☐ Are fraud risk assessments performed to proactively identify the organization's vulnerabilities to fraud?
- ☐ Are strong anti-fraud controls in place and operating effectively?
- ☐ Does the internal audit department, if present, have adequate resources and authority to operate effectively and without undue influence from management?
- ☐ Are employee support programs in place?
- ☐ Is an open-door policy in place that allows employees to speak freely about pressures?
- ☐ Are regular anonymous surveys conducted to assess employee morale?

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